

**KENTUCKY OFFICE OF INSURANCE  
FINANCIAL STANDARDS & EXAMINATION DIVISION  
P. O. BOX 517  
FRANKFORT, KY 40602-0517**

**SURPLUS LINES CARRIERS  
ELIGIBILITY REQUIREMENTS FOR KENTUCKY**

Surplus Lines Carriers writing business or requesting eligibility in Kentucky are requested to complete and forward the following to the above address:

Form 916 - Kentucky Surplus Lines Insurer Information Sheet

- Form 916 is available at  
<http://doi.ppr.ky.gov/kentucky/Documents/FinancialStandards/FORM916Feb200602102006.pdf>
- Form 916 shall be updated as necessary.
- \$5.00 filing fee is required; check is payable to Kentucky State Treasurer.

Form 800 – Kentucky Designation of Person to Receive Legal Process

- Form 800 shall be filed if business is being written in Kentucky.
- Form 800 shall be updated as necessary.
- Form 800 is available at:  
<http://doi.ppr.ky.gov/kentucky/Documents/FinancialStandards/FORM800RevFeb200602102006.pdf>.
- \$5.00 filing fee is required; check is payable to Kentucky State Treasurer.

Evidence of Financial Qualifications (KRS 304.10-070)

- Copy of current financial statement.

Annual Filings

If an Annual Statement is filed electronically with the NAIC:

- The Jurat Page shall be filed with the Kentucky Office of Insurance.
- \$100 filing fee is required.

If an Annual Statement is not filed with the NAIC:

- The Annual Statement shall be filed with the Kentucky Office of Insurance.
- \$100 filing fee is required.